

1 **Effects of the Directive 2010/63/EU on Research on Wild-Sourced Animals:**  
2 **A Review of Publication Trends in Studies on Captive Wild Mice**  
3 **(*Apodemus* sp.)**

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16 **ABSTRACT**

17 Most animals used in experimentation are small mammals. In the European Union, the  
18 Directive 2010/63/EU regulates the use of laboratory animals for experimental purposes.  
19 However, there are only few guidelines for the use of wild-sourced animals, which cover permits,  
20 experimentation, transport, maintenance, and setting free after experiments. To evaluate the

effect of the Directive on the study of wild-sourced animals, we conducted a systematised literature review focusing on three widespread rodents of the genus *Apodemus*: *Apodemus agrarius*, *A. flavicollis* and *A. sylvaticus*. We selected studies performed across the EU, published before (2000-August 2010), during (September 2010-2012) and after the implementation of the Directive (2013-2022). From those, we collected data on three main topics: i) authorization; ii) care and accommodation and iii) methods of killing. We found that after the implementation of the Directive a higher proportion of studies published provided information about authorization. In contrast, there was no significant difference over time in the information given about care and accommodation of animals and the methods of killing. As such, our analysis suggests that there is still room for improvement to achieve consistency across journals publishing research involving wild-sourced small mammals. Specifically, editors should require the provision of detailed information by authors regarding proper animal care (e.g. more detailed care and accommodation protocols). To harmonize the information requested by different editorial boards, we recommend the addition of specific guidelines in the Directive regarding wild animals, particularly on proper accommodation, manipulation, enrichment and veterinary control.

## 1. INTRODUCTION

In 2019, the total number of animals involved in research and testing for the first time in the European Union and Norway was around 10.4 million.<sup>1</sup> About 62% of these animals were mice and rats, which remain the preferred models, especially for biomedical studies.<sup>1,2</sup> The use of laboratory animals for experimental and/or other scientific purposes is currently well-regulated across the European Union by the Directive 2010/63/EU (referred to as “Directive” from now on),<sup>3</sup> a community directive that each member state transposed to national law. This Directive classifies

the severity of experimental procedures (i.e. non-recovery, mild, moderate or severe) and standardizes the laboratorial conditions in which they should be performed,<sup>4,5</sup> in order to ensure that the tested animals receive adequate care, and any suffering, distress and pain inflicted during the studies is minimized. The importance of these guidelines has already been shown,<sup>6,7</sup> especially regarding the 3Rs principles (reduction, replacement and refinement; introduced by Russell & Burch, 1959<sup>8</sup>). While the Directive was elaborated mainly considering laboratory animals, these principles are also applied when wild-sourced models are used in experimental procedures. Additionally, some recommendations are given for the acclimatization and release of these animals after the experimental captivity period.

Studies with wild small mammals in laboratory conditions can be highly relevant as a complement to field studies.<sup>9</sup> However, the maintenance of wild animals in a captive environment can induce behavioural and physiological adjustments that may greatly differ from those of laboratory animals, as the latter are reared and maintained in captivity throughout their live.<sup>10</sup> These differences justify the relevance of studying the specificities related to the maintenance of wild small animals in captivity.<sup>11</sup>

The Directive does not give any specific details on necessary permits to perform experiments, transport, housing, and procedures of setting free when referring to the use of wild-sourced animals in experimental research.<sup>12</sup> In addition, no information is provided on the most adequate duration of captivity or on the methods of killing, when necessary. Given the lack of specific recommendations, it is important to understand the current interpretation of the Directive by researchers studying wild animals. While the impact of the Directive on studies involving laboratory animals has been analysed,<sup>13,14</sup> we are at this moment unaware of similar analyses focussing on wild-sourced animals, especially small mammals. Such studies are crucial to understand whether the Directive's requirements and recommendations have influenced

researchers' approaches to the care and experimentation of wild models in captivity and the way they document their findings in scientific publications.

The objective of our study was to assess the impact of the Directive on studies involving the use of wild-sourced animals in the European Union. Specifically, we aimed to determine the impact of the Directive on parameters linked to i) the reporting of permits, ii) the description of care and accommodation, and iii) the methods of killing. As model species for this study, we choose three species of rodents of the genus *Apodemus*: the striped field mouse (*Apodemus agrarius*), the Yellow-necked mouse (*A. flavicollis*) and the wood mouse (*A. sylvaticus*). These species are widely distributed and relatively abundant across Europe and are not protected by any law or convention (IUCN status: Least concern).<sup>15–17</sup> In addition, they are easily captured and commonly maintained in captivity for research purposes. Our expectations were to gather insights into the interpretation of the Directive recommendations by zooming into the use of wild-sourced *Apodemus* sp. in experimental procedures conducted in captivity.

## 2. METHODS

### 2.1. Literature Search and Study Selection

To analyse the impact of the Directive on the study of wild-sourced animals, we conducted a systematised literature review focusing on three widespread rodent species: the striped field mouse (*Apodemus agrarius*), the yellow-necked mouse (*A. flavicollis*) and the wood mouse (*A. sylvaticus*).

We performed a systematic search for scientific articles that involved at least one of the three target species and were published between 2000 and 2022. The main search was performed in June 2024 using three databases: Web of Science, Scopus and PubMed. An initial

search had already been conducted in December 2020 on Web of Science. However, all the publications retrieved in 2020 were also found in 2024. The search strings used contain different ways of writing the scientific identification of the target species and were adjusted according to the specifications of each database (**Table 1**). The results were retrieved from each database and managed using a spreadsheet (Microsoft Office Excel). We excluded all double entries and removed any studies not published in English. The studies were then downloaded from Web of Science in pdf format if available, or directly from the journal website. We screened all the retrieved studies for eligibility according to the following two criteria: i) studies conducted in the European Union countries compliant with the Directive (**Table S1** – Supplementary Material), and ii) studies involving one of the three mouse species maintained in captivity for experimentation or retention purposes. The screening process was performed manually by one author (MC) by reading the abstract and methods section, resulting in a new spreadsheet containing relevant information for the identification of each selected study (i.e. title, authors, abstract; see Supplementary Material - "**Data\_Directive\_Apodemus.xlsx**"). In order to detail how this systematised literature review was conducted, a filled PRISMA 2020 Checklist <sup>18</sup> is provided as Supplementary Material ("**PRISMA\_2020\_Checklist.docx**").

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**Table 1.** Search strings obtained from each database search.

Database (2024)	Search String	Specific Definitions
Web of Science	TS=("apodemus agrarius" OR "apodemus flavicollis" OR "apodemus sylvaticus" OR "a. agrarius" OR "a. flavicollis" OR "a. sylvaticus" OR "a.agrarius" OR "a.flavicollis" OR "a.sylvaticus") AND PY=(2000-2022)	Not applicable
PubMed	(Apodemus agrarius) OR (Apodemus flavicollis) OR (Apodemus sylvaticus) OR (a. agrarius) OR (a. flavicollis) OR (a. sylvaticus) OR (a.agrarius) OR (a.flavicollis) OR (a.sylvaticus)	Time interval: 2000-2022
Scopus	TITLE-ABS-KEY("apodemus agrarius" OR "apodemus flavicollis" OR "apodemus sylvaticus" OR "a. agrarius" OR "a. flavicollis" OR "a. sylvaticus" OR "a.agrarius" OR "a.flavicollis" OR "a.sylvaticus") AND PUBYEAR > 1999 AND PUBYEAR < 2023	Not applicable

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## 2.2. Data Extraction

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From all studies included in the review, relevant data (see below) was extracted and registered in the spreadsheet containing the list of the selected scientific publications. The choice of data to extract was made considering the specific topics addressed by the Directive. For each variable, a specific column was created in the spreadsheet. All selected scientific publications were read twice by the same author (MC). The relevant data was simultaneously extracted by the same author. A number of randomly selected studies in the resulting table was checked and entries confirmed by reading the respective study by two other authors (SvM, JTT). All the chosen variables, as well as the extracted data, are presented as Supplementary Material - "Data\_Directive\_Apodemus.xlsx".

The data extracted from the selected articles can be grouped in three main topics: (1) authorization, (2) care and accommodation and (3) methods of killing animals in lethal studies. For each topic, we registered the following parameters:

(1) Authorization:

- i) Capture permit – binary variable indicating if an article refers to permits issued by a competent authority allowing the capture of wild animals (e.g. capture licence number such as: “ASAB/ABS, 2012”<sup>19</sup>, “49/2008/CAPT”<sup>20</sup>);
- ii) Project/Ethical permit – binary variable indicating if an article refers to a project and/or an ethical permit issued by a competent authority (e.g. ethical license number such as: “protocol # 066/2010”<sup>21</sup>);

(2) Care and accommodation of animals:

- i) Husbandry/care of animals – binary variable indicating if an article refers to the type of provision of food or water (e.g. “ad libitum”<sup>22</sup> or controlled<sup>23</sup>) and/or type of food (e.g. “standard chow diet”<sup>24</sup>; “seeds”<sup>25</sup>).
- ii) Acclimatization/quarantine – binary variable indicating if an article refers to an acclimatization or quarantine period in terms of duration and macro-environmental conditions such as room temperature, humidity or photoperiod;
- iii) Housing – binary variable if an article refers to any aspect of housing conditions such as cage type, substrate or environmental enrichment like shelter (e.g. “cardboard tube-shelter”<sup>26</sup>, “plastic tubes”<sup>27</sup>) or nesting material (e.g. “hay”<sup>28</sup>, “pressed cotton”<sup>23</sup>);

- iv) Transport – binary variable indicating if an article refers to transport conditions such as containers, means of transport or duration (e.g. transportation in the trap<sup>29</sup>, transportation in a standard rodent cage<sup>30</sup>);
- v) Setting free of animals, if applicable – binary variable indicating if an article refers to any kinds of measures taken prior to setting free of animals, such as verification of health status, rehabilitation programs, habitat adequacy or provision of food and water during transportation (e.g. transportation in a waterproof shelter with provision of hay and food<sup>31</sup>, previous feeding before setting free<sup>32</sup>) .

(3) Methods of killing animals in lethal studies:

Euthanasia procedures – binary variable indicating if an article refers to the method of killing, and, if such reference exist, categorical variable indicating the specific method used (anaesthetic overdose, carbon dioxide, cervical dislocation, concussion, decapitation, other methods).

From all the information retrieved, proportions of articles referring to the respective parameter could be calculated for statistical analysis.

Following the data extraction, we grouped the resulting papers into three different time periods, according to the year of publication, for further analysis: i) 2000-August 2010, ii) September 2010-2012 and ii) 2013-2022, representing, respectively, the periods before, during and after the implementation of the Directive.

Additionally, we compared the proportion of articles referring to a capture or project/ethical permit between different types of studies and between invasive and non-invasive studies. Types of studies were behavioural (e.g. cafeteria tests to assess food preference<sup>33</sup>, test spatial memory



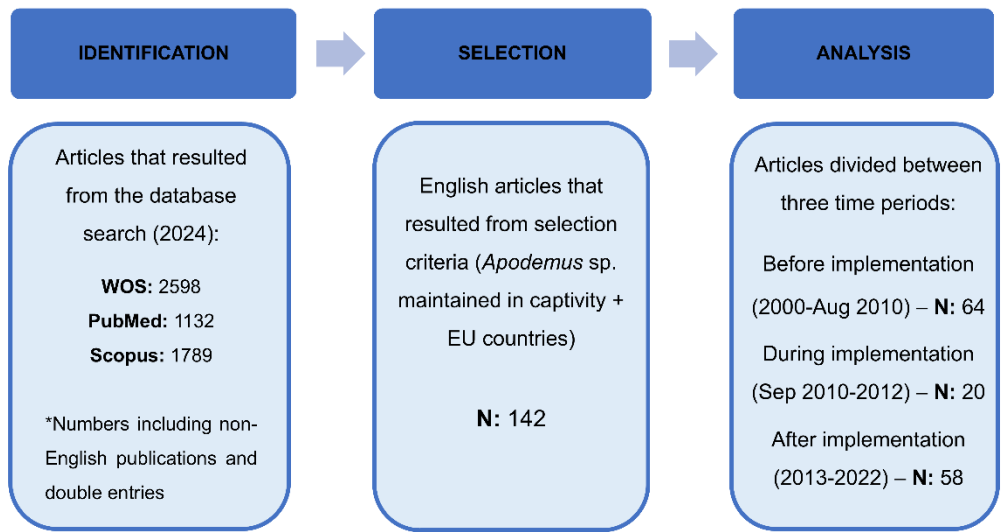
retention in the Morris water maze<sup>34</sup>), physiological (e.g. blood sampling to evaluate metal levels<sup>35</sup>, measurements of body temperature<sup>36</sup>), post-mortem (e.g. collection of bone marrow<sup>37</sup>, epididymal sperm extraction<sup>30</sup>) and none (only for retention purposes – e.g. equipping animals with collars for identification<sup>38</sup> or avoidance of recaptures within a certain amount of time<sup>39</sup>). Invasiveness of each study was defined according to the Chapter I - Article 3 (Definitions – Point 1) of the Directive (examples of invasive procedures: toxicity testing, tumour inducing, severe movement restriction; examples of non-invasive procedures: open-field testing, non-invasive imaging of animals, assessing body composition by non-invasive measures).

### **2.3. Data Analysis**

All the data was analysed using the statistical software R.<sup>40</sup> To test the differences between the proportions of scientific publications referring to specific topics during the different time periods (before, during and after the implementation of the Directive), we performed Chi-square tests using the function “CrossTable” of the package “gmodels”.<sup>41</sup> Yates correction was used in cases with one degree of freedom and/or small frequencies, as in the case of post-hoc Chi-square tests performed to test for differences between the specific pairs of time periods. We tested for differences between time periods in the parameters related to authorization (i.e. reference to capture and project/ethical permit), care and accommodation (i.e. reference husbandry/care, acclimatization/quarantine, housing, transport and setting free) and methods of killing (i.e. reference to and type of euthanasia procedures). Additionally, we tested for differences between time periods in reference to capture and project/ethical permits by invasiveness of study (i.e. invasive and non-invasive) and type of study (i.e. behavioural, physiological, post-mortem and none).

197 **2. RESULTS**

198 Our literature search resulted in 2598 studies from Web of Science, 1132 from PubMed  
199 and 1789 from Scopus, which included at least one of the three target *Apodemus* species and  
200 were published between 2000 and 2022 (**Figure 1**). One hundred and forty-two of those papers  
201 involved studies with individuals maintained in captivity for various scientific purposes (**Figure 1**).  
202 All the selected studies found on PubMed and Scopus were also found on Web of Science. Sixty-  
203 four of them involved studies published before the implementation of the Directive (2000- August  
204 2010), 20 during (September 2010-2012) and 58 after (2013-2022) (**Figure 1**). This set of  
205 scientific articles considered for analysis is presented as Supplementary Material  
206 (“Data\_Directive\_Apodemus.xlsx”).



214 **Figure 1.** Protocol design. This flow diagram gives the numbers of articles resulting from the  
215 three stages of the literature search, namely identification, selection and analysis.

216 “Implementation” refers to the implementation of the Directive 2010/63/EU. WOS: Web of  
217 Science.

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219 **3.1. Data Analysis Results**

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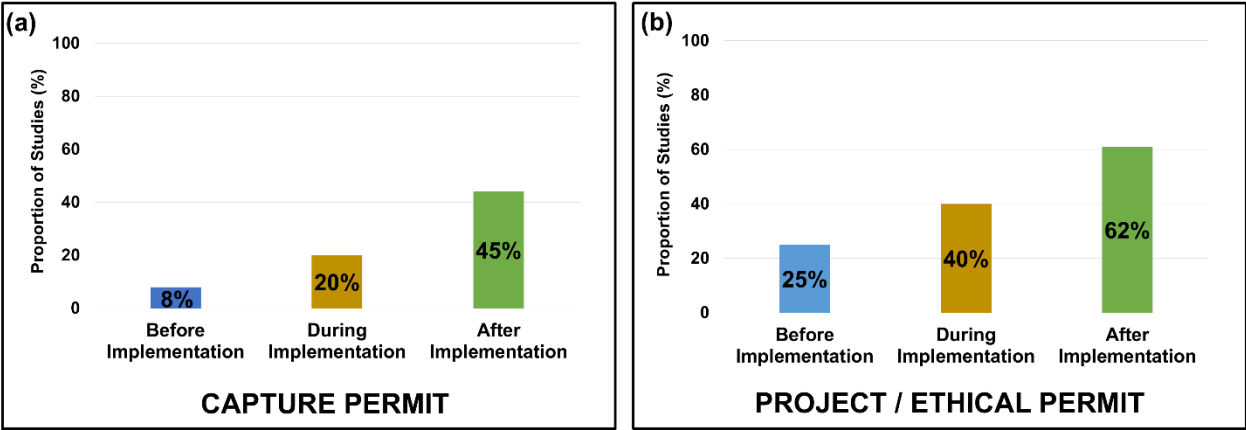
221       Regarding authorization, we found a significant association between the proportion of

222 studies with a reference to a capture permit and/or a project/ethical permit and the time of

223 publication (**Table 2**). After the implementation of the Directive, more studies referred to permits

224 than before its implementation (**Figure 2; Table 3**).

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226 **Figure 2.** Authorization: Proportion of studies with reference to (a) Capture permit and (b)

227 Project/Ethical permit.

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**Table 2.** Results of Chi-square tests analysing the impact of time period (before, during and after the implementation of the Directive) on the proportion of studies that reported parameters related to authorization, care and accommodation and methods of killing. Significant results are highlighted in bold ( $p \leq 0.05$ ).

Variable	Chi-square ( $X^2$ )	df	p
<b>Capture permit</b>	<b>22.716</b>	<b>2</b>	<b>&lt; 0.001</b>
<b>Ethical permit</b>	<b>17.183</b>	<b>2</b>	<b>&lt; 0.001</b>
Husbandry/care	1.878	2	0.391
Acclimatization/quarantine	0.709	2	0.702
Housing	2.463	2	0.292
Transport	2.543	2	0.280
Setting free	1.030	2	0.598
Methods of killing	1.523	2	0.467
Specific methods of killing	12.803	10	0.182

**Table 3.** Results of post-hoc Chi-square tests (with Yates correction) analysing the impact of time period (before, during and after the implementation of the Directive) on the proportion of studies that reported information regarding capture or project/ethical permits. Significant results are highlighted in bold ( $p \leq 0.05$ ).

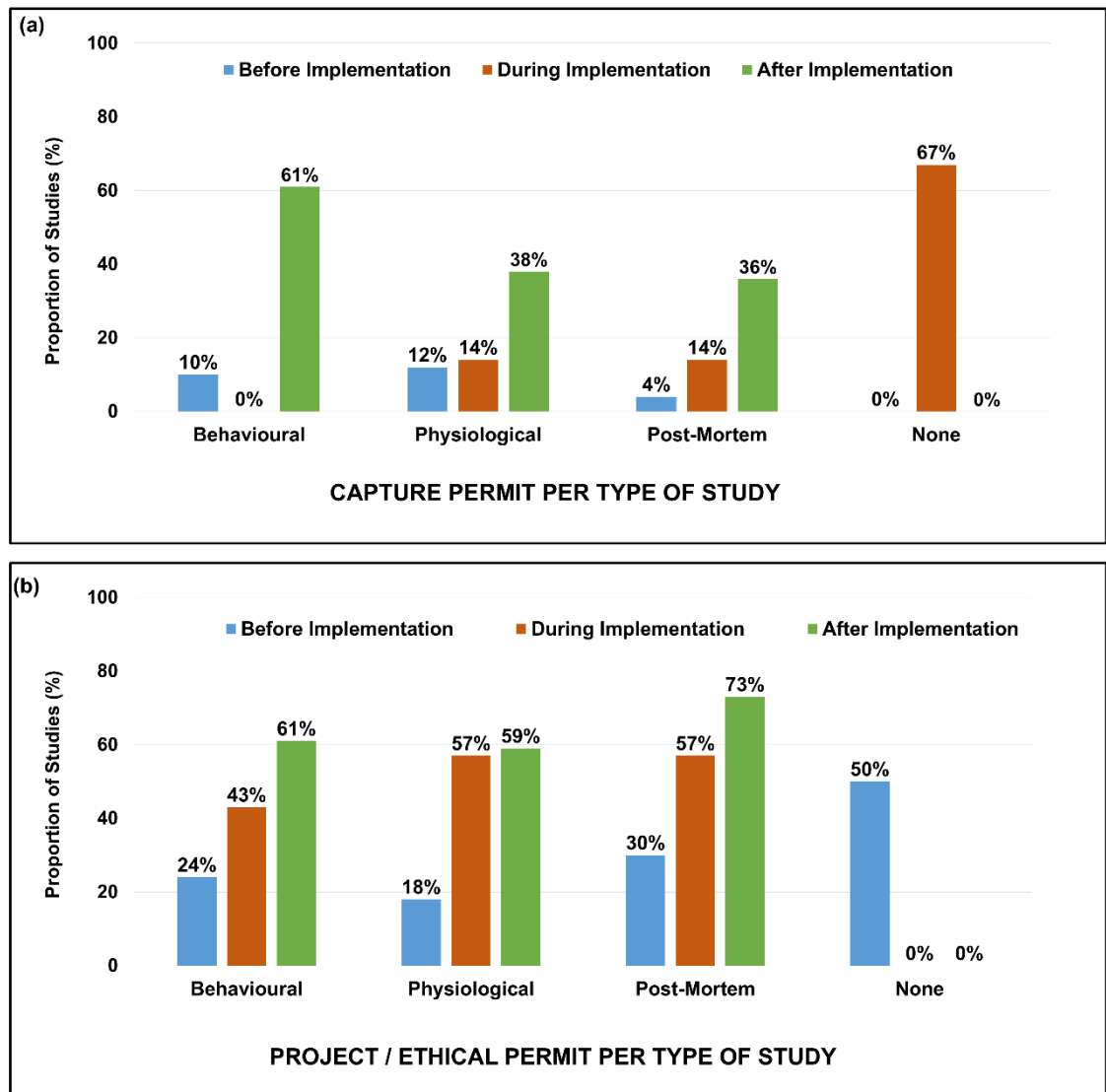
Variable	Pairwise	Chi-square ( $X^2$ )	df	p
<b>Capture Permit</b>	Before Directive – During Implementation	1.264	1	0.261
	During Implementation – After Directive	2.895	1	0.089
	<b>Before Directive – After Directive</b>	<b>20.085</b>	<b>1</b>	<b>&lt; 0.001</b>
<b>Ethical Permit</b>	Before Directive – During Implementation	1.025	1	0.311
	During Implementation – After Directive	2.117	1	0.146
	<b>Before Directive – After Directive</b>	<b>15.614</b>	<b>1</b>	<b>&lt; 0.001</b>

The increase of references to capture permits was significant for behavioural, physiological and post-mortem studies. The increase of references to project/ethical permits was significant for physiological and post-mortem studies (**Table 4; Figure 3**). The proportion of studies with a reference to capture or project/ethical permits did not differ between invasive studies (capture permit vs invasiveness:  $p=0.821$ ; project/ethical permit vs invasiveness:  $p=0.460$ ) (**Figure 4**).

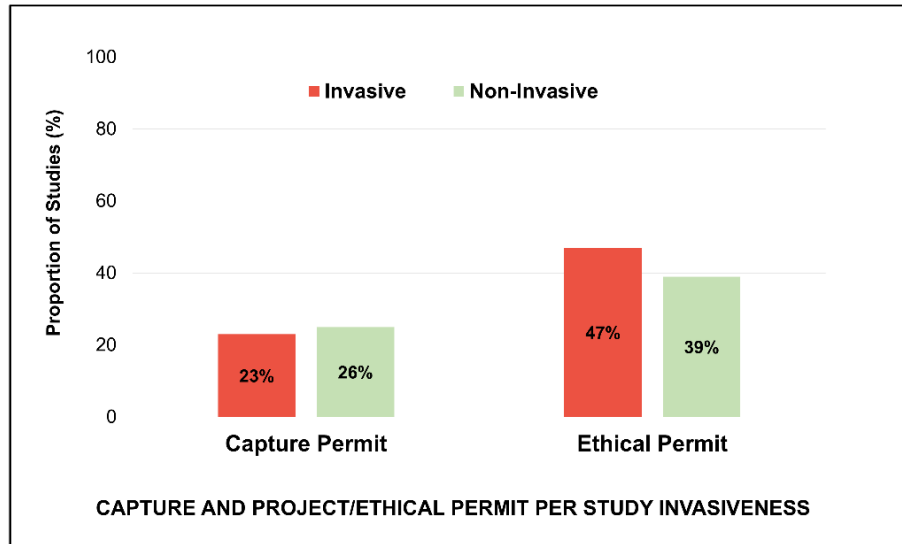
**Table 4.** Results of Chi-square tests analysing the impact of time period (before, during and after the implementation of the Directive) on the proportion of studies that referred to capture or ethical permits, separated by type of study (behavioural, physiological, post-mortem and none).

Significant results are highlighted in bold ( $p \leq 0.05$ ).

Type of Study	Variable	Chi-square ( $X^2$ )	df	p
Behavioural	Capture Permit	<b>15.975</b>	<b>2</b>	<b>&lt; 0.001</b>
	Ethical Permit	5.571	2	0.062
Physiological	Capture Permit	<b>6.120</b>	<b>2</b>	<b>0.047</b>
	Ethical Permit	<b>11.659</b>	<b>2</b>	<b>0.003</b>
Post- Mortem	Capture Permit	<b>8.885</b>	<b>2</b>	<b>0.012</b>
	Ethical Permit	<b>9.170</b>	<b>2</b>	<b>0.010</b>
None	Capture Permit	4.444	2	0.108
	Ethical Permit	3.429	2	0.180

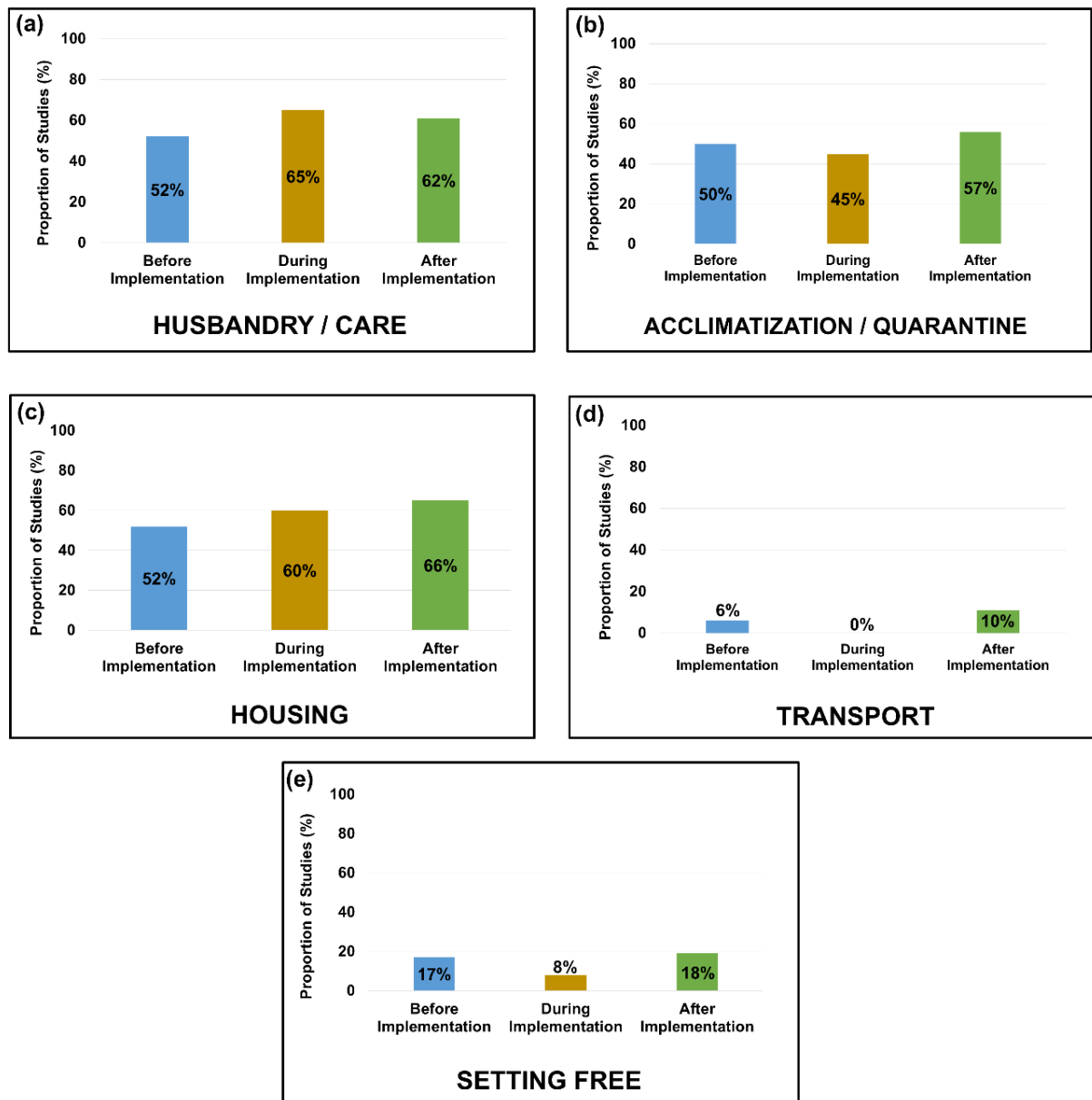


**Figure 3.** Permits by type of study: Proportion of studies with reference to (a) Capture permit and (b) Ethical permit, by type of study. Types of study are behavioural, physiological, post-mortem and “None” (i.e. only for retention purposes).



**Figure 4.** Permits by study invasiveness: Proportion of studies with reference to Capture permit and Ethical permit by study invasiveness (i.e. invasive and non-invasive studies).

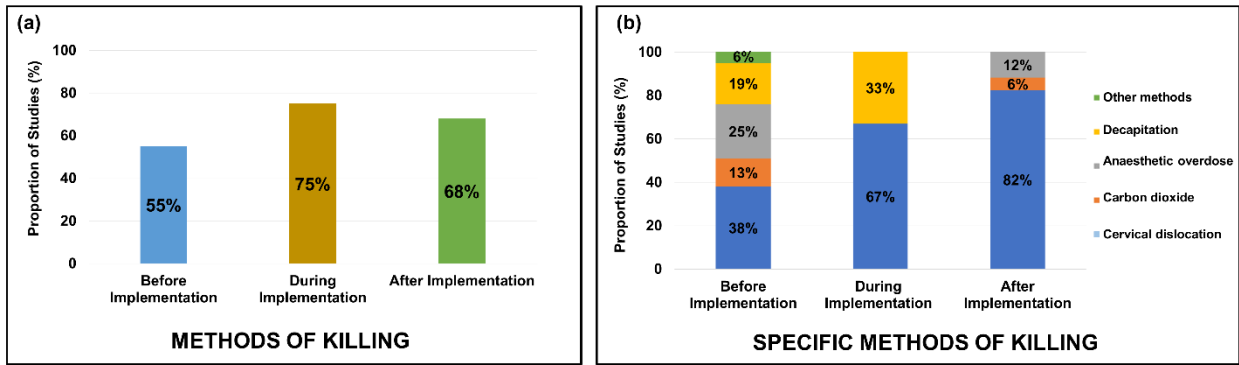
Regarding care and accommodation, we did not find a significant association between the proportion of studies with a reference to the analysed parameters and time of publication. While references to husbandry/care, acclimatization/quarantine and housing increased slightly after the implementation of the Directive, none of the differences were significant (**Figure 5; Table 1**). Regarding transport conditions and setting free of animals, we noticed a lack of information in most scientific publications analysed, which may mask any differences (**Figure 5**).



**Figure 5.** Care and accommodation: Proportion of studies with reference to (a) Husbandry/care, (b) Acclimatization/quarantine, (c) Housing, (d) Transport and (e) Setting free.



Forty four percent of the selected studies (n=62) involved lethal procedures, that is, animals were killed during the respective study. Among those, 29 studies were conducted before, eight during and 25 after the implementation of the Directive. We found no significant association between the proportion of studies with reference both to the method of killing and to the specific method of killing and the time period. (Figure 6; Table 1)



**Figure 6.** Methods of killing: (a) Proportion of lethal studies with reference to the method of killing and (b) Proportion of lethal studies with reference to a specific method of killing.

### 3.2. Information Lacking in Analysed Studies

During the extraction of data, we noticed a lack of information regarding several parameters among those previously chosen according to the topics of the Directive. The parameters with more than 80% of data absence (i.e. stated as “NOINFO” in Supplementary Material - “Data\_Directive\_Apodemus.xlsx”) and those that we considered to be redundant for this review were disregarded for the analysis. We found a lack of information about the duration of trapping sessions and trap mortality. The same was observed for the conditions of transport

(duration and cage type) used to bring the captured animals to the keeping facility. There was also a lack of information regarding several macroenvironmental variables (e.g. sound insulation, ventilation and humidity conditions of the keeping facilities and several microenvironmental variables (e.g. specific enrichment type, nesting and shelter material). Information regarding regular monitoring of animals during the captivity period was also lacking. Finally, we observed a lack of information regarding methods of setting free, including transport and control of stress indicators prior to release.

## **4. DISCUSSION**

In this review, we found that subsequent to the implementation of the Directive 2010/63/EU, the proportion of publications reporting information on permits requested in studies involving wild rodent species (e.g. *Apodemus* sp.) was significantly higher. This was consistent across different types of studies. In contrast, there was only a slight and not significant increase in information provided on care and accommodation and methods of killing. Additionally, we noted a lack of information regarding transport and setting free of animals, both before and after the implementation of the Directive.

### **4.1. Impact of the Directive on Information on Permits**

The increase in references to capture or project/ethical permits might be explained by the increasing requirements of scientific journals regarding welfare policies for the publication of fundamental research. As highlighted in a review focused on field studies, until late 2017,

approximately one third of journals in the fields of “Biodiversity Conservation” and “Zoology” lacked explicit animal care policies.<sup>42</sup> Even among those journals that already had such policies, only 34% enforced compliance from authors, and only 14% required documentation of relevant permits (permit/licence numbers). However, the cited review focused on field studies, and we are not aware of a similar analysis on studies involving the maintenance of wild animals in captivity. The lack of specific recommendations for keeping wild animals in captivity might affect the editorial boards of journals to not require specific proofs of compliance, such as permit numbers issued by competent authorities. For example, a review on field research on fishes revealed that about half of the journals analysed did not mention any requirements or recommendations regarding ethics and animal welfare in their publication guidelines, and only 18% had specific ethic guidelines.<sup>43</sup> Nonetheless, despite the Directive primarily targeting laboratory animal research, its implementation may have encouraged several journals to incorporate additional requirements in their editorial rules regarding animal care policies for studies involving wild animals. The Directive clearly states the need for authorization for studies or projects involving animals brought from the wild, specifying that a justification must be provided as to why the scientific aim of the study cannot be achieved with animals raised in a laboratory.<sup>3</sup> In many cases, research with wild animals is conducted in the field. However, when there is a need to capture wild animals and bring them in captivity for research purposes, they may experience the stressful effects of transport and the exposure to a new and more restrictive environment.<sup>44</sup> Therefore, the requirement of project/ethical and capture permits issued by competent authorities is justified as a certification that researchers have the competence to follow the best practices concerning capture, manipulation and maintenance of wild animals. Also, the requirements set by funding agencies regarding ethics can play a crucial role in ensuring compliance with animal care policies. For example, Horizon 2020, one of EU's largest research and innovation funding programmes,

require ethics self-assessment to be added to the research proposal and the provision of the necessary permits for animal acquisition and experiments.<sup>45</sup>

## **4.2. Impact of the Directive on Information on Care and Accommodation and Methods of Killing**

The reporting of care and accommodation practices did not increase significantly during or after implementation. This might be due to a comparatively high proportion of studies already providing such information before the implementation of the Directive, which was not the case for the permits. One explanation is that well before the implementation, the 3Rs principles<sup>8</sup> had already been addressed, contributing for further development of techniques and guidelines regarding the maintenance of laboratory animals, which researchers could follow and report in a way that allows the reproducibility of studies. Nonetheless, while researchers might feel compelled to adapt guidelines targeted on laboratory animals to wild animals, the inherent differences between them must be acknowledged. Generally, information on the transport was rarely provided. We believe this issue is related to the lack of specific information on these aspects in the Directive.<sup>3</sup> However, this topic should not be disregarded as studies have found an influence of transportation on stress levels both for laboratory mice (e.g.<sup>46</sup>) and rats (e.g.<sup>47</sup>). Such an influence may be even more pronounced in wild rodents, as high mortality rates after the transport of wild small mammals have been reported in some cases<sup>48</sup>. Also, a lack of information on setting free of animals was observed. This might be related to a lack of specific guidelines in the Directive and the fact that researchers possibly consider such information irrelevant, as the release of animals does not influence the outcome of their own study.

Finally, there was also no increase in the information provided on killing methods after the implementation of the Directive. Instead, the proportion of lethal studies, independent of the method used, before, during and after the implementation of the Directive stayed approximate the same (45% before; 40% during; 44% after). This result can likely be explained by the fact that lethality is more related to the type of study being conducted than ethical concerns. A review on the use of lethal, invasive or non-invasive DNA sampling techniques in several animal groups showed that in rodents, lethal sampling accounted for 56% of the studies.<sup>49</sup> While the mere purpose of DNA sampling does not necessarily require killing of animals, in certain types of studies killing is an implicit requirement (e.g. <sup>50</sup> – internal parasites collection; <sup>51</sup> – bone marrow collection). In this regard, it is crucial to consider minimizing the suffering of the animals to the greatest extent possible<sup>7,52</sup> during invasive techniques or killing, in which the “refinement” of the techniques is primordial. While, next to refinement and reduction, also the replacement of animals is included in the 3Rs principles,<sup>7,52</sup> many studies have yet to adopt the use of in-vitro or in-silico techniques as viable alternatives. One reason might be the costs, which can compel researchers to not choose this option due to financial constraints.<sup>53</sup>

On a final note, it is important to recognize that researchers face several constraints while studying wild animals, since their traits are more closely linked to natural conditions.<sup>11</sup> One major obstacle for the compliance with good animal practices is the weakness of ethics training in biological sciences across Europe. A study from 2017 revealed that only 9% of the undergraduate programs in biology, ecology and life sciences include ethics as a mandatory course.<sup>54</sup> This can result in researchers lacking knowledge concerning ethical questions and requirements, as well as best practices for the maintenance and experimentation involving wild animals. The need for training is also addressed in Annex V of the Directive, with a list of topics that should be included in experimentation science training.<sup>3</sup> The solution for the recognition of competences has been

set by FELASA (Federation of European Laboratory Animal Science Associations) through the development of courses that provide accreditation for carrying out functions related to research involving animals (A - carrying out procedures on animals, B - designing procedures and projects, C - taking care of animals, D - killing animals).<sup>55</sup>

### **4.3. Potential Limitations**

While we included several databases and used a predefined protocol regarding the selection of publications and the extraction of data from the selected publications, some limitations should be considered. One of the limitations is the selection of scientific publications and extraction of data by one observer. However, two additional authors cross-checked the data to guarantee that all the necessary information was correctly extracted. Further, as our study does not present a meta-analysis on data that had been collected in the course of the analysed publications, but rather a de-novo analysis of information provided inside those publications, we do not present effect measures or statistical synthesis methods. A potential source of a risk of bias in the studies included in this review might stem from the editorial policies of journals, which might have different strategies regarding the documentation of ethical concerns and animal care practices. This is indeed an important point and was discussed above.

## **5. CONCLUSIONS**

We found a significant increase in references to authorization parameters, but only a slight and not significant increase in reference to care and accommodation and methods of killing in publications following the implementation of the Directive 2010/63/EU. However, even with the increase on the reporting of some information in the last years, there is still room to improve the

transparency on scientific studies using wild-sourced small mammals. An effective approach to enhance accurate reporting in animal studies is through policies implemented by funding agencies, ethical committees and editorial boards. Funding agencies should require strict ethical assessments by ethical committees and, thus, guarantee the adequacy of the methodologies regarding best animal care practices. Finally, editorial boards of journals publishing studies involving experimentation of wild animals should require authors to include all necessary information regarding proper animal care, including permit numbers. In order to adjust the Directive for wild animal researchers, we suggest the inclusion of more specific guidelines for wild-sourced animals, such as those related to accommodation (e.g. more space), manipulation (e.g. avoid direct manipulation for biosafety reasons), enrichment (e.g. increase the complexity of environmental enrichment and adapt it to the species needs), and a tighter veterinary control (e.g. control the health conditions of animals more closely in order to guarantee the animals welfare and avoid biosafety issues). Such additions to the Directive could then be used by editorial offices and would thus help to harmonize the information provided in the publications of different journals. Additionally, we suggest to perform the same type of analysis presented in this review for other type of wild-sourced animals that are also frequently brought to captivity such as fresh water fish<sup>56</sup>. Societal expectations and concerns regarding the welfare of animals has been increasing in the last years. It is thus pivotal to clarify the need of using animals in research, especially wild animals, and the responsibility of scientists in ensuring their proper maintenance and testing. The need of such transparency might have also an important role in influencing the animal care policies in research in addition to the Directive, in order to guarantee the reproducibility and ethical correctness of the studies.

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## **DATA AVAILABILITY**

All relevant data (i.e. selected scientific publications and extracted data) is presented as supplementary material.

## **DECLARATION OF CONFLICTING INTERESTS**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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**SUPPLEMENTARY MATERIAL**

**Table S5.** List of countries compliant with the Directive 2010/63/EU.

List of Countries - Directive 2010/63/EU	
Actual Members	Austria; Belgium; Bulgaria; Croatia; Cyprus; Czech Republic;
	Denmark; Estonia; Finland; France; Greece; Germany; Hungary;
	Ireland; Italy; Latvia; Lithuania; Luxembourg; Malta; Netherlands;
	Poland; Portugal; Romania; Spain; Slovenia; Slovakia; Sweden.
Past Members	United Kingdom*

\* Until 2020